



#2

THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : Joseph G. Barrett et al. Art Unit : 2152
Serial No. : 09/978,046 Examiner : Unknown
Filed : October 17, 2001
Title : E-MAIL SENDER IDENTIFICATION

Commissioner for Patents
Washington, D.C. 20231

INFORMATION DISCLOSURE STATEMENT

Applicants submit the references listed on the attached form PTO-1449, copies of which are enclosed. Although undated, Applicants currently believe that items AG-AM were available prior to May 16, 2000. Items AH-AQ were obtained from the web site <http://web.archive.org>, which purports to contain a representation of the web site <http://www.sendmail.org> as of May 11, 2000.

This statement is being filed before the receipt of a first Office action on the merits.
Please apply any charges or credits to Deposit Account No. 06-1050.

Respectfully submitted,

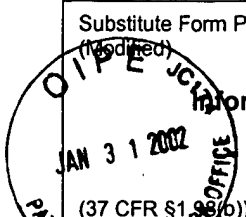
Date: January 31, 2002

Scott R. Boalick
Scott R. Boalick
Reg. No. 42,337

Fish & Richardson P.C.
601 Thirteenth Street, NW
Washington, DC 20005
Telephone: (202) 783-5070
Facsimile: (202) 783-2331

40085868.doc

RECEIVED
FEB 04 2002
Technology Center 2100

Substitute Form PTO-1449 (Modified) 	U.S. Department of Commerce Patent and Trademark Office	Attorney's Docket No. 06975-132002	Application No. 09/978,046
	Information Disclosure Statement by Applicant (Use several sheets if necessary)		
	Applicant Joseph G. Barrett et al.		Group Art Unit 2152
		Filing Date October 17, 2001	

U.S. Patent Documents

Examiner Initial	Desig. ID	Patent Number	Issue Date	Patentee	Class	Subclass	Filing Date If Appropriate
	AA						
	AB						
	AC						
	AD						

RECEIVED

FEB 04 2002

Technology Center 2100

Foreign Patent Documents or Published Foreign Patent Applications

Examiner Initial	Desig. ID	Document Number	Publication Date	Country or Patent Office	Class	Subclass	Translation	
							Yes	No
	AE							
	AF							

Other Documents (include Author, Title, Date, and Place of Publication)

Examiner Initial	Desig. ID	Document
	AG	Eric Allman, <i>SENDMAIL™ Installation and Operation Guide Version 8.310 for Sendmail Version 8.10</i> , Sendmail, Inc., (84 pages).
	AH	<i>Allowing controlled SMTP relaying in Sendmail 8.9</i> , (2 pages), http://web.archive.org/web/20000510213022/www.sendmail.org/tips/relaying.html .
	AI	<i>Anti-Spam Configuration Control</i> , (5 pages), http://web.archive.org/web/20000520064211/www.sendmail.org/m4/anti-spam.html .
	AJ	<i>Anti-Spam Provisions in Sendmail 8.8</i> , (4 pages), http://web.archive.org/web/20000510040556/www.sendmail.org/antispam.html .
	AK	Mark Durham, <i>Spam Control in 8.10</i> , (3 pages), http://web.archive.org/web/20000618042433/sendmail.net/?feed=interview810-5 .
	AL	Paul Boutin, <i>RFC 2476: Message Submission Agent</i> , (3 pages), http://web.archive.org/web/200010270602105/sendmail.net/?feed=rfc2476 .
	AM	Paul Boutin, <i>RFC 2554: SMTP Authentication</i> , (4 pages), http://web.archive.org/web/20001016141928/sendmail.net/?feed=rfc2554 .
	AN	<i>Relaying Denied/Allowed (in sendmail 8.8/8.9)</i> , Last Update 2/11/2000, (2 pages), http://web.archive.org/web/20000418000700/www.sendmail.org/~ca/email/relayingdenied... .
	AO	rfc2222, J. Myers, <i>Simple Authentication and Security Layer (SASL)</i> , (16 pages), October 1997, The Internet Society (1997), http://web.archive.org/web/20001027153713/www.cis.ohio-state.edu/htbin/rfc/rfc2222.ht... .
	AP	rfc2554, J. Myers, <i>SMTP Service Extension for Authentication</i> , (11 pages), March 1999, The Internet Society (1999), http://web.archive.org/web/20001018181229/www.cis.ohio-state.edu/htbin/rfc/rfc2554.ht... .
	AQ	<i>Using SMTP AUTH in Sendmail 8.10</i> , (2 pages), March 27, 2000, http://web.archive.org/web/20001016174022/sendmail.net/?feed=usingsmthpauth .

Examiner Signature	Date Considered
EXAMINER: Initials citation considered. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.	